Application No. <u>Unassigned</u>
Attorney's Docket No. <u>000035-034</u>
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#### Attachment to Preliminary Amendment dated April 13, 2001

Marked-up Claims 6, 7, 10-13, 14, 16-18, 23, 24, 26, 28-34, 38-40 and 42

- 6. (Amended) A powder coating composition as claimed in [any one of claims 1 to 3] claim 1, wherein the metallic pigment is incorporated in the composition before and/or during homogenisation, especially in the case of a low-shear homogenisation process, and the content of metallic pigment(s) incorporated is in the range of from 0.1 to 50% by weight, based on the total weight of the composition, preferably at least 5% or 10% by weight, advantageously not exceeding 30% by weight.
- 7. (Amended) A powder coating composition as claimed in [any one of claims 1 to 6] claim 1, wherein the stabilising additive comprises at least one silicate material selected from the group consisting of:
  - (a) materials obtainable by admixture or, preferably, reaction of silica or a silicate with a compound of a trivalent metal; and
  - (b) naturally occurring or synthetic metal silicates.
- 10. (Amended) A powder coating composition as claimed in [any one of claims 7 to 9], claim 7 wherein the trivalent metal in embodiment (a) is chromium, iron or aluminium, especially aluminium.
- 11. (Amended) A powder coating composition as claimed in [any one of claims 7 to 9] claim 7, wherein the silicate in embodiment (b) is a silicate of a trivalent metal, especially chromium, iron or aluminium, more especially aluminium.

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- 12. (Amended) A powder coating composition as claimed in [any one of claims 7 to 10] claim 7, in which the compound of a trivalent metal in embodiment (a) is a phosphate, fluoride, silicofluoride, chloride, sulphate or alkane carboxylate.
- 13. (Amended) A powder coating composition as claimed in [any one of claims 7 to 10 or 12] claim 7, wherein the silica in embodiment (a) is amorphous silica or a precursor thereof.
- 14. (Amended) A powder coating composition as claimed in [any one of claims 7 to 13] claim 7, wherein the stabilising additive, or a silicate used in embodiment (a), is surface-modified by ion exchange.
- 16. (Amended) A powder coating composition as claimed in claim 14 [or claim 15], modified in that the stabilising additive comprises, or is derived from, silica or lumina which has been surface-modified as defined in that claim [those claims], preferably in combination with zinc oxide.
- 17. (Amended) A powder coating composition as claimed in [any one of claims 7 to 16] claim 7, wherein the ratio of silicon to metal atom is in the range of from 0.2 to 30: 1, advantageously at least 0.5: 1, 1.5: 1, 2.5: 1 or 3.5: 1, preferably not exceeding 20: 1, 15: 1 or 10: 1.

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- 18. (Amended) A powder coating composition as claimed in [any one of claims 1 to 6] claim 1, wherein the stabilising additive comprises a metal phosphate or a metal borate, the phosphate advantageously being an orth.-phosphate, hydrogen phosphate or polyphosphate, preferably an ortho-phosphate.
- 23. (Amended) A powder coating composition as claimed in [any one of claims 1 to 6] claim 1, wherein the stabilising additive has a content of stabilising anions, advantageous phosphate ions, capable of dissolving in the presence of water.
- (Amended) A powder coating composition as claimed in [any one of claims 1 to 6] claim 1, wherein the stabilising additive comprises an inorganic material.
- 26. (Amended) A powder coating composition as claimed in [any one of claims 1 to 25] claim 1, wherein the stabilising additive is incorporated by post-blending.
- 28. (Amended) A powder coating composition as claimed in [any one of claims 1 to 27] claim 1, wherein the total content of metallic pigment(s) and/or other non-film-forming additive(s) incorporated by post-blending does not exceed 10% by weight, based on the weight of the composition without the pigment(s) and additive(s).
- 29. (Amended) A powder coating composition as claimed in [any one of claims 1 to 25] claim 1, wherein the proportion of stabilising additive(s) incorporated before

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- 30. (Amended) A powder coating composition as claimed in [any one of claims 1 to 29] claim 1, wherein the particle size of the or each stabilising additive or component thereof is up to 25 microns, preferably no more than 1 0 microns, more especially from 2.5 to 7.5 microns.
- 31. (Amended) A powder coating composition as claimed in [any one of claims 1 to 30] claim 1, wherein the particle size of any zinc oxide included in the stabilising additive is in the range of from 0.1 to 10 microns.
- 32. (Amended) A powder coating composition as claimed in [any one of claims 1 to 31] claim 1, which is a thermosetting system.
- 34. (Amended) A powder coating composition as claimed in [any one of claims 1 to 33] claim 1, wherein the metallic pigment is a coated material.
- 38. (Amended) A powder coating composition as claimed in [any one of claims 1 to 33] <u>claim 1</u>, wherein the metallic pigment is carried in a polymer or plasticiser which is compatible with the film-forming polymer.

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- 39. (Amended) A powder coating composition as claimed in [any one of claims I to 38] claim 1, wherein the proportion of film-forming polymer (and curing agent where appropriate) is in the range of from 25 to 99.5% by weight, preferably from 40 to 98% by weight.
- 40. (Amended) A process for forming a coating on a substrate, in which a composition as claimed in [any one of claims 1 to 39] <u>claim 1</u> is applied to the substrate by a powder coating process resulting in particles of the composition adhering to the substrate, and forming the adherent particles into a continuous coating over at least part of the substrate.
- 42. (Amended) A coated substrate obtained by a process as claimed in claim 40 [or claim 41].

#### **REMARKS**

If the Examiner has any questions concerning the amendment or the above-identified application in general, the Examiner is invited to contact the undersigned so as to expedite prosecution.

Respectfully submitted, BURNS, DOANE, SWECKER & MATHIS, L.L.P.

 $_{\mathbf{By}}$ :  $\underline{\checkmark}$ 

William C. Rowland Registration No. 30,888

P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620

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